

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

---

<b>Applicant(s):</b> Tso et al.	:	<b>Group Art Unit:</b> 4124
<b>Serial No.:</b> 10/615,250	:	<b>Examiner:</b> Brian Fertig
<b>Filed:</b> July 8, 2003	:	Attorney Docket No.: 006878.114001
<b>For:</b> METHOD AND SYSTEM FOR PROVIDING PRINCIPAL PROTECTION EXPOSURE TO EQUITY MARKETS	:	

---

**AMENDMENT IN RESPONSE TO OCTOBER 12, 2007 OFFICE ACTION**

Mail Stop Amendment  
Commissioner for Patents  
Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS:**

This Amendment is filed in reply to the Office Action issued by the U.S. Patent and Trademark Office on October 12, 2007 in connection with the above-identified patent application. The October 12, 2007 Office Action provided a period of 3 months in which to file a response, i.e., by January 12, 2008. A Petition for a three-month extension of time is being filed herewith. Accordingly, a reply is now due by April 12, 2008 and this Amendment is being timely filed.